

11-30-01

H

PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PB 00 0067
First Inventor	Donald G. Lemley, et al.
Title	PACKET VOICE GATEWAY
Express Mail Label No.	ET 792 690 351 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 9]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
 - ☒ (unexecuted)
Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information.

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Kenneth J. Rudofski, Tellabs Operations, INC.				
	1415 West Diehl Road, MS 16				
Address					
City	Naperville	State	Illinois	Zip Code	60563
Country	United States	Telephone	630-798-3021	Fax	630-798-3231

Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997
Signature		Date	NOV. 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

11/27/01

11/27/01

11/27/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 740.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Donald G. Lemley, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	PB 000067

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number
Deposit Account Name

500-654

Tellabs Operations, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
1	-20** =	X	-0-
1	-3** =	X	-0-
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ -0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997	Telephone	630-798-3021
Signature		Date	Nov. 27, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



One Tellabs Center
1415 West Diehl Road
Naperville, IL 60563 U.S.A.
Tel: +1.630.378.8800
Fax: +1.630.798.3231

November 27, 2001

Tellabs Docket No.: PB 00 0067

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Presented for filing is a patent application of:

Applicants:	Donald G. Lemley	Timothy J. Doiron
	Kenneth G. Craft	Alan S. Nakamoto
	William Kautz	

Title: PACKET VOICE GATEWAY

Enclosed are the following papers, including those required to receive a filing date under 37 CFR § 1.53(b):

- a. Utility Patent Application Transmittal including Specification
(9 pages and 3 drawing sheets)
- b. Fee Transmittal (in duplicate)
- c. Postage Paid Return Receipt Postcard
- d. Certificate of Mailing by "Express Mail" (ET 792 690 351 deposited 11/27/01)
- e. Declaration for Utility or Design Patent Application (37 CFR 1.63) (unexecuted)

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Kenneth J. Rudofski
Tellabs Operations, Inc.
1415 West Diehl Road, MS 16
Naperville, IL 60563

Respectfully submitted,

Kenneth J. Rudofski
Director, Intellectual Property

Enclosures

ASST. COMMISSIONER FOR PATENTS

TELLABS DOCKET NO. PB 00 0067

RE: APPLICANT - TELLABS OPERATIONS, INC. (LEMLEY, ET AL)

TITLE: PACKET VOICE GATEWAY

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Utility Patent Application Transmittal including Specification (9 pages and 3 drawing sheets)
- ☒ Fee Transmittal for FY 2002 (in duplicate)
- ☒ Declaration for Utility or Design Patent Application - unexecuted 3 pages
- ☒ Certificate of Mailing by "Express Mail"
Label Number: ET 792 690 351 US
Deposit Date: November 27, 2001
- ☒ Postage Paid Return Receipt Post Card
- ☒ Transmittal Letter
- ☒ Fee to be withdrawn from Deposit Account

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 (Express Mail No. ET 792 690 351 US).

Date: Nov 27, 2001

By:

Janet Missig
Janet Missig

UTILITY PATENT APPLICATION

TO ALL WHOM IT MAY CONCERN:

Be it known that we, Donald G. Lemley, a resident of Aurora, Illinois, USA, Kenneth G. Craft, a resident of Naperville, Illinois, USA, William Kautz, a resident of St. Charles, Illinois, USA, Timothy J. Doiron, a resident of Aurora, Illinois, USA, and Alan S. Nakamoto, a resident of Naperville, Illinois, USA, have invented new and useful

PACKET VOICE GATEWAY

of which the following is a specification.

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below, and are addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231 (Express Mail No. ET 792 690 351 US).

Nov. 27, 2001
Date

By : Janet Missig
Janet Missig

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)
Donald G. Lemley)
Kenneth G. Craft)
William Kautz)
Timothy J. Doiron)
Alan S. Nakamoto)
Serial No.: To Be Assigned)
Filed:)
For: PACKET VOICE GATEWAY)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Dear Sir:

"Express Mail" Mailing Label Number ET 792 690 351 US

Date of Deposit: November 27, 2001

Patent Application of: **Donald G. Lemley**
Aurora, Illinois 60506 USA

Kenneth G. Craft
Naperville, Illinois 60565 USA

William Kautz
St. Charles, Illinois 60174 USA

Timothy J. Doiron
Aurora, Illinois 60504 USA

and

Alan S. Nakamoto
Naperville, Illinois 60565 USA

BOX PATENT APPLICATION

November 27, 2001

Page 2

Tellabs Docket No.:

PB 00 0067

Title of Patent Application:

PACKET VOICE GATEWAY

- X Utility Patent Application Transmittal including Specification
(9 pages and 3 drawing sheets)
- X Fee Transmittal (in duplicate)
- X Postage Paid Return Receipt Postcard
- X Certificate of Mailing by "Express Mail"
Express Mail No.: ET 792 690 351 US
Mailing Date: November 27, 2001
- X Transmittal Letter
- X Declaration for Utility or Design Patent Application (37 CFR 1.63)
(unexecuted)

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to The Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Date: Nov. 27, 2001

By:

Janet Missig
Janet Missig